

MQAUSX for z/OS Cluster Configuration Manual

MQAUSX: Remote: 127.0.0.1(1415)

User Information:

User Name:

Password:

Server:

Queue Manager Information:

Queue Manager:

Password:

Ok Cancel

Authenticate User
Security Exit



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1 Introduction

1.1 Overview

MQ Authenticate User Security Exit for z/OS (z/MQAUSX) is a solution that allows a company to fully authenticate a user who is accessing a IBM MQ resource. It verifies the User's UserId and Password against the z/OS server's native OS system.

The security exit will operate with IBM MQ v5.3.1, v6.0, v7.0, v7.1, v8.0, v9.0, v9.1 and v9.2 in z/OS v1.4 or higher environments. It works with Server Connection, Client Connection, Sender, Receiver, Server, Requestor, Cluster-Sender and Cluster-Receiver channels of IBM MQ queue manager.

The MQ Authenticate User Security Exit for z/OS solution is comprised of 2 components: client-side security exit and server-side security exit.

1.1.1 Client-Side Security Exit

The *client-side security exit* first checks if the server-side exit is defined for the particular channel. The client-side exit will receive a security token to be used in the encryption process of the user's password. It will prompt the user for his / her UserId and Password, encrypt the data and send it to the server-side security exit.

For each connection attempt, the server-side security exit will verify that it is an acceptable client exit attempting the connection. If so, then the server-side will send a unique security token. When the server-side security exit receives the encrypted data, it will decrypt the incoming data and then perform UserId and Password authentication against the native OS or an encrypted z/MQAUSX FBA file. If successful, the connection will be allowed.

1.1.2 Server-Side Security Exit

The *server-side security exit* supports the concept of 'Proxy IDs'. After a user has been successfully authenticated against the native z/OS or file based validation data and the 'Proxy Mode' flag is set, then the server-side security exit will look up the user's UserID in the Proxy file for their Proxy ID. The Proxy ID will be used for all MQ interactions.

An MQAdmin can define a password for a queue manager. Hence, when enabled, a back-end application and/or end-user would need to not only know their UserID and Password but also the queue manager's Password to successfully log in. Defining and requiring a queue manager password in z/MQAUSX is equivalent to adding perimeter security to your system.

The server-side security exit has the ability to allow or restrict users from logging in with the 'CHIN' or the CHIN's Started-task UserIds. This is controlled by the server-side security exit's property keyword 'AlloMQm'.

The server-side security exit has the capability to allow or limit the incoming channel connections according to the name of the associated Server Connection channel (SVRCONN). Each Server Connection channel can be allocated a maximum number of connections and the server-side security exit will ensure that this maximum is not exceeded.

Client connections to a queue manager are limited by either channel name or the 'DefaultMCC' property keyword in the initialization file. In today's use of J2EE applications, it is a possibility that one J2EE application could overwhelm the queue manager with client connections, thus preventing any connections being made from other applications.

The MQAdmin can enable Excessive Client Connections alerting system that counts the number of connections over a period of time (i.e. Day / Hour / Minute) and writes a message to the log when the count exceeds a particular value. If the keyword WriteToEventQueue is set to 'Y' then an event message is also written to an event queue. ECC feature is designed to catch applications that are poorly written, for example, applications that continuously connect and disconnect from the queue manager for every message sent or received.

The server-side security exit has the ability to allow or restrict the incoming IP address, hostname and/or SSL DN. The server-side security exit uses a regular expression parser to parse the incoming client IP address, hostname, and/or SSL DN against a predefined regular expression pattern.

The server-side security exit has the ability to allow or restrict the incoming UserID against a group. A list of groups can be queried for the incoming UserID. The groups can be in the local OS or a group file.

For those channels where authentication is not required, the server-side security exit can be set to not perform this function. This is controlled by the server-side security exit's property keyword 'NoAuth'.

The server-side security exit, when in non-authentication mode, has the ability to allow or restrict users from connecting with a blank UserID value. This is controlled by the server-side security exit's property keyword 'AllowBlankUserID'.

The server-side security exit, when in non-authentication mode, has the ability to allow or restrict the incoming UserID. The server-side security exit uses a regular expression parser to parse the incoming client UserID against a predefined regular expression pattern.

z/MQAUSX is 4 products in 1

1. If the client application is configured with the client-side security exit then the user credentials are encrypted and sent to the remote queue manager. This is the best level of security.
2. If the client application is not configured with the client-side security exit and the client-side AND server-side are at MQ V8 then MQ V8 will encrypt the user credentials as they flow from the client application to the queue manager.
3. If the client application is not configured with the client-side security exit then the user credentials are sent in plain text to the remote queue manager. This feature is available for Java/JMS, Java and C# DotNet client applications. For native applications (i.e. C/C++), then the application must use and populate the MQCSP structure with the UserID and Password.
 - Using MQAUSX with No Client-side Security Exit - Part 1 (coding examples)
http://www.capitalware.com/rl_blog/?p=638
 - Using MQAUSX with No Client-side Security Exit - Part 2 (configuring tools like MQ Explorer, SupportPac MO71, MQ Visual Edit, etc..)
http://www.capitalware.com/rl_blog/?p=659
4. If the MQAdmin sets the z/MQAUSX IniFile parameter NoAuth to Y then it functions just like MQ Standard Security Exit for z/OS (z/MQSSX). z/MQSSX does not authenticate. It filters the incoming connection based on UserID, IP address, hostname and/or SSL DN.

2 z/MQAUSX Clustering Overview

This section provides an overview of how z/MQAUSX can authenticate the UserId and Password of the connection request from one queue manager to any queue manager participating in the cluster.

2.1 Cluster-Sender and Cluster-Receiver Channel Pair

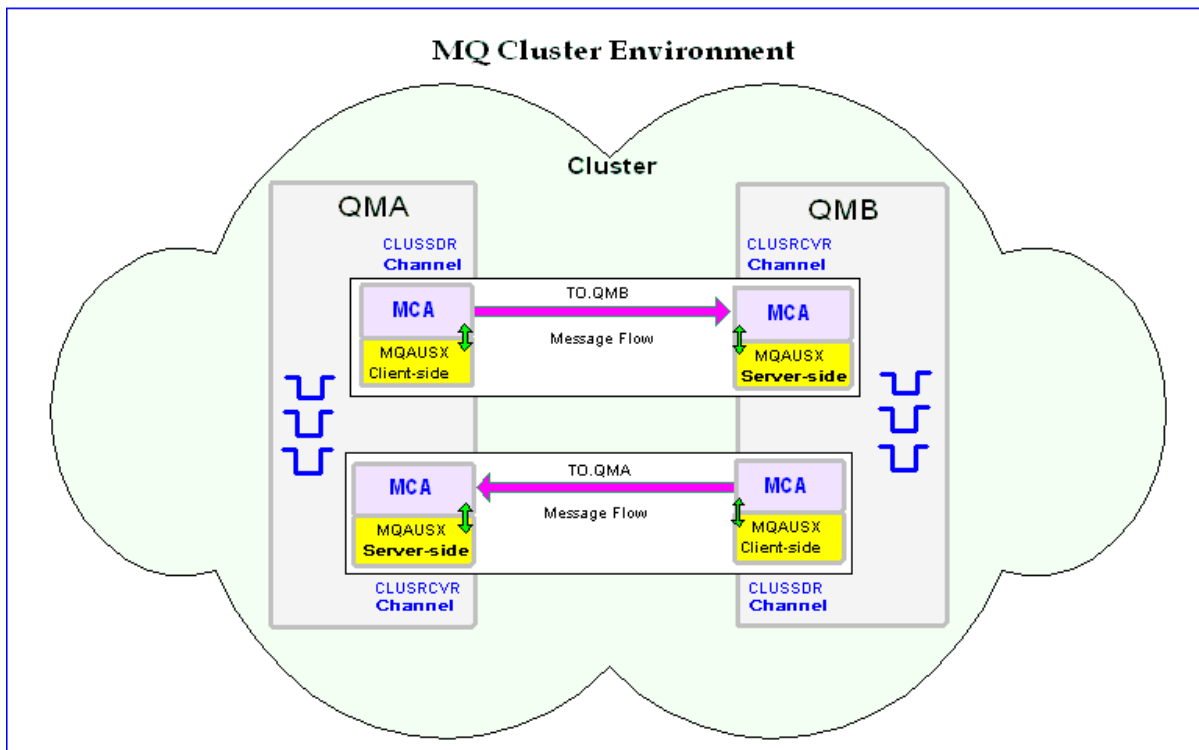
As mentioned in Chapter 1, z/MQAUSX is comprised of 2 MQ security exits: client-side and server-side security exit. As noted below (in yellow) in the diagram, the z/MQAUSX client-side security exit works with the Cluster-Sender (CLUSSDR) channel and the z/MQAUSX server-side security exit works with the Cluster-Receiver (CLUSRCVR) channel.

There is a Message Channel Agent (MCA) at each end of the channel. The MCA is a component that handles the sending and receiving of messages between queue managers. Before the MCA can send and receive messages, the UserId and Password must be authenticated as detailed below:

- The MCA that is running the Cluster-Sender channel will call z/MQAUSX client-side security exit to send a security message that contains the UserId and encrypted Password across the channel to the Cluster-Receiver channel.
- The MCA that is running the Cluster-Receiver channel will call z/MQAUSX server-side security exit to authenticate the incoming UserId and encrypted Password.

After the UserId and Password has been successfully authenticated, the channel will go to a 'Running' state and the messages will flow along the channel.

The following diagram highlights security exits in a clustering environment:



2.2 Channel Auto-Definition

When a queue manager has joined a cluster, MCA creates, by auto-definition, the Cluster-Sender channel from the attributes of the Cluster-Receiver channel. If an MQAdmin manually defines a Cluster-Sender channel, the queue manager will automatically modify those values to match the Cluster-Receiver channel's attributes. In other words, the MQAdmin cannot modify an auto-created Cluster-Sender channel via MQSC commands.

To explicitly set the Cluster-Sender channel attributes, a Channel Auto-Definition exit must be used. The z/MQAUSX installation package includes a Channel Auto-Definition exit called ***CWCHAD***.

The CWCHAD exit has been designed to override 9 attributes of a Cluster-Sender channel as listed below:

- CONNAME - The connection information for the channel
- MSGEXIT - The message exit name for the channel
- MSGDATA - The message exit data for the channel
- RCVEXIT - The receive exit name for the channel
- RCVDATA - The receive exit data for the channel
- SCYEXIT - The security exit name for the channel
- SCYDATA - The security exit data for the channel
- SENDEXIT - The send exit name for the channel
- SENDDATA - The send exit data for the channel

The CWCHAD exit does not only work with auto-created Cluster-Sender channels but as an added bonus, it also works with these other channels: Cluster-Receiver, Receiver and Server-Connection channels.

3 z/MQAUSX Clustering Prerequisites

This section details the necessary steps that must be completed *BEFORE* implementing z/MQAUSX and the CWCHAD exit in a MQ clustering environment. The implementation of security exits in an MQ clustering environment is extremely complex and must be completed very carefully.

3.1 Prerequisite # 1

The MQAdmin must read and understand Chapters 1 through 4 of IBM's *IBM MQ Queue Manager Clusters* manual. The IBM MQ manuals can be found at the following link: <http://www.ibm.com/software/integration/MQ/library/>

3.2 Prerequisite # 2

The MQAdmin must make sure that all of the cluster channels have been successfully started. i.e. all cluster channels must have a channel status of 'Running'.

3.3 Prerequisite # 3

MQAdmin must stop and restart all Cluster-Sender channels (since the Channel Auto-Definition does not kick-in until they have been restarted) at least twice (yes, twice). They must have a channel status of 'Running'. *This step is absolutely crucial.*

4 Implementing z/MQAUSX with Cluster Channels

This section describes the necessary steps to enable z/MQAUSX and the CWCHAD exit with cluster channels. All steps must be followed exactly. i.e. no skipping of steps.

4.1 Stop The Cluster Channels

The MQAdmin must stop *ALL* CLUSSDR and CLUSRCVR channels (to which you are applying the z/MQAUSX security exits to). *The channels must be in the 'Stopped' state before continuing any further.*

4.2 Editing the Channel Auto-Definition IniFile

This section describes the necessary entries to enable the CWCHAD exit. Please review Chapter 5 for more information on editing the Channel Auto-Definition IniFile.

Notes:

- The MQAdmin can simply use the **[default]** section if all of the cluster channels will be authenticated with the same UserId and Password. Otherwise, the MQAdmin will need to create a new section for each CLUSSDR channel in the Channel Auto-Definition IniFile.
- If the MQAdmin did not perform a default install of z/MQAUSX then they will need to update the value of ScyExit to reflect the new path information.
- If the MQAdmin wishes to have a different Path and/or filename for the Client IniFile then they will need to update the value of ScyData to reflect the new path information.

4.2.1 z/OS

For z/OS, a sample Channel Auto-Definition IniFile with the name: DD *CHADCS* has been included with the z/MQAUSX installation package.

The following is the contents of the DD *CHADCS*:

```
[TO.QMGRNAME]
ScyExit=MQAUSXCL
ScyData=CLNTINI
[default]
ScyExit=MQAUSXCL
ScyData=CLNTINI
```

4.3 Configuring the CWCHAD Exit

This section describes how to configure the CWCHAD exit for a queue manager.

Note: Do NOT alter the QMGR CHAD parameter, as it is not required for clustering.

4.3.1 z/OS

For z/OS, CHADEXIT will contain the following values, assuming a default install:

- CHADEXIT
CWCHAD

The following is an example of an MQSC command:

```
ALTER QMGR CHADEXIT('CWCHAD')
```

4.4 Configuring a Cluster-Receiver Channel

This section describes the necessary entries to enable the server-side security exit on a Cluster-Receiver Channel. The server-side security exit and its data will be applied to 2 fields of the Cluster-Receiver Channel. The MQ Administrator will need to update these 2 fields of the Cluster-Receiver Channel.

For more information on server-side IniFile parameters, please review *Appendix A* of the *MQAUSX for z/OS Server-side Installation and Operation* manual.

4.4.1 z/OS

For z/OS, SCYEXIT and SCYDATA will contain the following values, assuming a default install:

- SCYEXIT
MQAUSX
- SCYDATA
MQAUSXIN

The following is an example of an MQSC command for creating a Cluster-Receiver Channel with the server-side security exit and its data:

```
DEFINE CHANNEL ('TO.QMA') CHLTYPE(CLUSRCVR) +  
  TRPTYPE(TCP) +  
  CONNAME(127.0.0.1(1414) +  
  SCYEXIT('MQAUSX') +  
  SCYDATA('MQAUSXIN') +  
  REPLACE
```

4.5 Configuring a Cluster-Sender Channel

There is no z/MQAUSX configuration required for the Cluster-Sender channel, as the CWCHAD exit will handle the setting of the values of the SCYEXIT and SCYDATA fields.

5 CWCHAD Channel Auto-Definition IniFile

This section describes how to configure the CWCHAD Channel Auto-Definition IniFile.

5.1 Channel Auto-Definition IniFile Search Order

The CWCHAD exit supports individual DD *CHADCS* file.

5.2 Channel Auto-Definition IniFile Keywords

IniFile keywords are grouped together in sections. A section name is the actual CLUSSDR channel name. A section name is surrounded by square brackets ('[' and ']').

```
[SECTION-NAME]
ScyExit=keyword-value
ScyData= keyword-value
```

To specify default values for any Channel Auto-Definition IniFile keyword, use the default section. The default section is optional.

```
[default]
ScyExit=MQAUSXCL
ScyData=CLNTINI
```

The IniFile supports the following keywords and their respective values:

5.2.1 ConName

The ConName keyword specifies a value to override the current CONNAME field in the CLUSSDR channel definition. ConName is optional. If the keyword is not specified or its value is blank then no override is performed.

5.2.2 Partner

The Partner keyword specifies a value to be verified against the incoming connection request's Partner name. Partner is optional. If the keyword is not specified or its value is blank then no check is performed.

5.2.3 MsgExit and MsgData

The MsgExit and MsgData keywords are only used if SetMessageExit is set to 'Y' in the DD SYSCHAD.

5.2.3.1 MsgExit

The MsgExit keyword specifies a value in order to override the current MSGEXIT field in the CLUSSDR channel definition. MsgExit is optional. If the keyword is not specified or its value is blank then no override is performed.

5.2.3.2 MsgData

The MsgData keyword specifies a value in order to override the current MSGDATA field in the CLUSSDR channel definition. MsgData is optional. If the keyword is not specified or its value is blank then no override is performed.

Below is a sample Channel Auto-Definition IniFiles using MsgExit and MsgData entries:

```
[TO.QMGRNAME]
MsgExit=MsgExit
MsgData= MyData
```

5.2.4 RcvExit and RcvData

The RcvExit and RcvData keywords are only used if SetReceiveExit is set to 'Y' in the DD SYSCHAD.

5.2.4.1 RcvExit Keyword

The RcvExit keyword specifies a value in order to override the current RCVEXIT field in the CLUSSDR channel definition. RcvExit is optional. If the keyword is not specified or its value is blank then no override is performed.

5.2.4.2 RcvData Keyword

The RcvData keyword specifies a value in order to override the current RCVDATA field in the CLUSSDR channel definition. RcvData is optional. If the keyword is not specified or its value is blank then no override is performed.

Below is a sample Channel Auto-Definition IniFiles using RcvExit and RcvData entries:

```
[TO.QMGRNAME]
RcvExit=RcvExit
RcvData= MyData
```

5.2.5 ScyExit and ScyData

The ScyExit and ScyData keywords are only used if SetSecurityExit is set to 'Y' in the DD SYSCHAD.

5.2.5.1 ScyExit

The ScyExit keyword specifies a value in order to override the current SCYEXIT field in the CLUSSDR channel definition. ScyExit is optional. If the keyword is not specified or its value is blank then no override is performed.

5.2.5.2 ScyData

ScyData The ScyData keyword specifies a value in order to override the current SCYDATA field in the CLUSSDR channel definition. ScyData is optional. If the keyword is not specified or its value is blank then no override is performed.

Below is a sample Channel Auto-Definition IniFiles using ScyExit and ScyData entries:

```
[TO.QMGRNAME]
ScyExit=MQAUSXCL
ScyData=CLNTINI
```

5.2.6 SendExit and SendData

The SendExit and SendData keywords are only used if SetSendExit is set to 'Y' in the DD SYSCHAD.

5.2.6.1 SendExit

The SendExit keyword specifies a value in order to override the current SENDEXIT field in the CLUSSDR channel definition. SendExit is optional. If the keyword is not specified or its value is blank then no override is performed.

5.2.6.2 SendData

The SendData keyword specifies a value in order to override the current SENDDATA field in the CLUSSDR channel definition. SendData is optional. If the keyword is not specified or its value is blank then no override is performed.

Below is a sample Channel Auto-Definition IniFiles using SendExit and SendData entries:

```
[TO.QMGRNAME]
SendExit=SendExit
SendData=MyData
```

6 CWCHAD IniFile

This section describes how to configure the CWCHAD IniFile. The DD *SYSCHAD* file is optional.

6.1 Logging

This section describes the necessary entries to enable CWCHAD to record log information. To enable and control logging, you need 2 keywords in the IniFile:

1. **LogMode** specifies what type of logging the user wishes to have. LogMode supports 4 values [Q / N / V / D] where Q is Quiet, N is Normal, V is Verbose and D is Debug. The default value is N.
2. **LogFile** specifies the location of the log file. The default values are as follows:

For z/OS:

LogFile=SYSPRINT

```
LogMode=N  
LogFile=SYSPRINT
```

6.2 SetMessageExit

This section describes the necessary steps to enable the overriding of the channel's MSGEXIT and MSGDATA fields. SetMessageExit specifies whether or not the CWCHAD exit will override the MSGEXIT and MSGDATA fields.

SetMessageExit can have a value of either Y or N. If you want the CWCHAD exit to override the MSGEXIT and MSGDATA fields, set the SetMessageExit value to Y as shown below.

```
SetMessageExit=Y
```

6.3 SetReceiveExit

This section describes the necessary steps to enable the overriding of the channel's RCVEXIT and RCVDATA fields. SetReceiveExit specifies whether or not the CWCHAD exit will override the RCVEXIT and RCVDATA fields.

SetReceiveExit can have a value of either Y or N. If you want the CWCHAD exit to override the RCVEXIT and RCVDATA fields, set the SetReceiveExit value to Y as shown below.

```
SetReceiveExit=Y
```

6.4 SetSecurityExit

This section describes the necessary steps to enable the overriding of the channel's SCYEXIT and SCYDATA fields. SetSecurityExit specifies whether or not the CWCHAD exit will override the SCYEXIT and SCYDATA fields.

SetSecurityExit can have a value of either Y or N. If you want the CWCHAD exit to override the SCYEXIT and SCYDATA fields, set the SetSecurityExit value to Y as shown below.

```
SetSecurityExit=Y
```

6.5 SetSendExit

This section describes the necessary steps to enable the overriding of the channel's SENDEXIT and SENDDATA fields. SetSendExit specifies whether or not the CWCHAD exit will override the SENDEXIT and SENDDATA fields.

SetSendExit can have a value of either Y or N. If you want the CWCHAD exit to override the SENDEXIT and SENDDATA fields, set the SetSendExit value to Y as shown below.

```
SetSendExit=Y
```

7 Appendix A – Channel Auto-Definition IniFile Summary

A sample Channel Auto-Definition IniFile below is the DD *CLUSCS* supplied for z/OS.

```
[TO.QMGRNAME]
ScyExit=MQAUSXCL
ScyData=CLNTINI
[default]
ScyExit=MQAUSXCL
ScyData=CLNTINI
```

IniFile keywords are grouped together in sections. A section name is the actual CLUSSDR channel name. A section name is surrounded by square brackets ('[' and ']'). To specify default values for any Channel Auto-Definition IniFile keyword, use the default section. The default section is optional. The IniFile supports the following keywords and their respective values:

Note: Keywords are case sensitive.

Keyword	Description of keywords
ConName	<p>ConName specifies a value in order to override the current CONNAME field in the CLUSSDR channel definition. ConName is optional. If the keyword is not specified or its value is blank then no override is performed.</p> <p>e.g. ConName=127.0.0.1(1415)</p>
Partner	<p>Partner specifies a value to be verified against the incoming connection request's Partner name. Partner is optional. If the keyword is not specified or its value is blank then no check is performed.</p> <p>e.g. Partner=QM5</p>
ScyData	<p>ScyData specifies a value in order to override the current SCYDATA field in the CLUSSDR channel definition. ScyData is optional. If the keyword is not specified or its value is blank then no override is performed.</p> <p>For. z/OS: ScyExit=CLNTINI</p>

Keyword	Description of keywords
ScyExit	<p>ScyExit specifies a value in order to override the current SCYEXIT field in the CLUSSDR channel definition. ScyExit is optional. If the keyword is not specified or its value is blank then no override is performed.</p> <p>For. z/OS: ScyExit=MQAUSXCL</p>
MsgData	<p>MsgData specifies a value in order to override the current MSGDATA field in the CLUSSDR channel definition. MsgData is optional. If the keyword is not specified or its value is blank then no override is performed.</p> <p>e.g. MsgData =SampleMessageData</p> <p>Note: Only used if SetMessageExit is set to 'Y' in the DD SYSCHAD</p>
MsgExit	<p>MsgExit specifies a value in order to override the current MSGEXIT field in the CLUSSDR channel definition. MsgExit is optional. If the keyword is not specified or its value is blank then no override is performed.</p> <p>e.g. MsgExit=SampleExit</p> <p>Note: Only used if SetMessageExit is set to 'Y' in the DD SYSCHAD</p>
RcvData	<p>RcvData specifies a value in order to override the current RCVDATA field in the CLUSSDR channel definition. RcvData is optional. If the keyword is not specified or its value is blank then no override is performed.</p> <p>e.g. RcvData=SampleMessageData</p> <p>Note: Only used if SetReceiveExit is set to 'Y' in the DD SYSCHAD</p>
RcvExit	<p>RcvExit specifies a value in order to override the current RCVEXIT field in the CLUSSDR channel definition. RcvExit is optional. If the keyword is not specified or its value is blank then no override is performed.</p> <p>e.g. RcvExit =SampleExit</p> <p>Note: Only used if SetReceiveExit is set to 'Y' in the DD SYSCHAD</p>

Keyword	Description of keywords
SendData	<p>SendData specifies a value in order to override the current SENDDATA field in the CLUSSDR channel definition. SendData is optional. If the keyword is not specified or its value is blank then no override is performed.</p> <p>e.g. SendData=SampleMessageData</p> <p>Note: Only used if SetSendExit is set to 'Y' in the DD SYSCHAD</p>
SendExit	<p>SendExit specifies a value in order to override the current SENDEXIT field in the CLUSSDR channel definition. SendExit is optional. If the keyword is not specified or its value is blank then no override is performed.</p> <p>e.g. SendExit =SampleExit</p> <p>Note: Only used if SetSendExit is set to 'Y' in the DD SYSCHAD</p>

8 Appendix B – CWCHAD IniFile Summary

The CWCHAD IniFile is optional. The sample CWCHAD IniFile below is the z/OS IniFile DD *SYSCHAD*.

```
LogMode=Q
LogFile=SYSPRINT
```

The CWCHAD IniFile supports the following keywords and their respective values:

Note: Keywords are case sensitive.

Keyword	Description of keywords
SetMessageExit	<p>SetMessageExit specifies whether or not the CWCHAD exit will override the MSGEXIT and MSGDATA fields. SetMessageExit supports 2 values [Y / N] where the default value is N.</p> <p>e.g. SetMessageExit=Y</p>
SetReceiveExit	<p>SetReceiveExit specifies whether or not the CWCHAD exit will override the RCVEXIT and RCVDATA fields. SetMessageExit supports 2 values [Y / N] where the default value is N.</p> <p>e.g. SetReceiveExit=Y</p>
SetSecurityExit	<p>SetSecurityExit specifies whether or not the CWCHAD exit will override the SCYEXIT and SCYDATA fields. SetMessageExit supports 2 values [Y / N] where the default value is Y.</p> <p>e.g. SetSecurityExit=Y</p>
SetSendExit	<p>SetSendExit specifies whether or not the CWCHAD exit will override the SENDEXIT and SENDDATA fields. SetMessageExit supports 2 values [Y / N] where the default value is N.</p> <p>e.g. SetSendExit=Y</p>
LogFile	<p>LogFile specifies the location of the log file. The default is as follows:</p> <p>For z/OS: LogFile=SYSPRINT</p>

Keyword	Description of keywords
LogMode	<p>LogMode specifies what type of logging the user wishes to have. LogMode supports 3 values [Q / N / V] where Q is Quiet, N is Normal and V is Verbose where the default value is N.</p> <p>e.g. LogMode=N</p>

9 Appendix D – License Agreement

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